

**EFFECTIVE DATE:** 10|15|2015

**POLICY LAST UPDATED:** 12|18|2018

## OVERVIEW

Gene expression profile analysis and protein biomarkers have been proposed as a means to risk-stratify patients with prostate cancer to guide treatment decisions. These tests are intended to be used either on prostate needle biopsy tissue to guide management decisions for active surveillance or therapeutic intervention, to guide radiotherapy use or after radical prostatectomy (RP) or to guide medication selection after progression in metastatic castration-resistant prostate cancer.

## MEDICAL CRITERIA

### BlueCHiP for Medicare

#### PROLARIS

**The Prolaris™ assay is covered only when the following clinical conditions are met:**

- Needle biopsy with localized adenocarcinoma of prostate (no clinical evidence of metastasis or lymph node involvement), **and**
- FFPE prostate biopsy specimen with at least 0.5 mm of cancer length, **and**
- Patient Stage as defined by the one of the following:
  - Very Low Risk Disease (T1c **AND** Gleason Score  $\leq$  6 **AND** PSA  $\leq$  10 ng/mL **AND**  $<$ 3 prostate cores with tumor **AND**  $\leq$  50% cancer in any core **AND** PSA density of  $<$  0.15 ng/mL/g) **OR**
  - Low Risk Disease (T1-T2a **AND** Gleason Score  $\leq$  6 **AND** PSA  $\leq$  10 ng/mL), **and**
- Patient has an estimated life expectancy of greater than or equal to 10 years, **and**
- Patient is a candidate for and is considering conservative therapy and yet and would be eligible for definitive therapy (radical prostatectomy, radiation therapy or brachytherapy), **and**
- Result will be used to determine treatment between definitive therapy and conservative management, **and**
- Patient has not received pelvic radiation or androgen deprivation therapy prior to the biopsy, **and**
- Test is ordered by a physician certified in the Myriad **PROLARIS™** Certification and Training Registry (CTR), **and**
- Patient is monitored for disease progression according to established standard of care.

## ONCOTYPEDX

**The Oncotype DX® Prostate Cancer Assay is covered only when the following clinical conditions are met:**

- Needle biopsy with localized adenocarcinoma of prostate (no clinical evidence of metastasis or lymph node involvement), **and**
- Patient stage as defined by the one of the following:
  - Very Low Risk Disease (T1c **AND** Gleason Score = 6 **AND** PSA = 10 ng/mL **AND**  $<$ 3 prostate cores with tumor **AND** = 50% cancer in any core **AND** PSA density of  $<$  0.15 ng/mL/g) **OR**
  - Low Risk Disease (T1-T2a **AND** Gleason Score = 6 **AND** PSA = 10 ng/mL), **and**
- Patient has an estimated life expectancy of  $\geq$  10 years, **and**
- Patient is a candidate for and is considering conservative therapy and yet and would be eligible for definitive therapy (radical prostatectomy, radiation therapy or brachytherapy), **and**
- Patient has not received pelvic radiation or androgen deprivation therapy prior to the biopsy, **and**

- Test is ordered by a physician certified in the Genomic Health™ Oncotype DX® Prostate Cancer Assay Certification and Training Registry (CTR), **and**
- Patient is monitored for disease progression according to active surveillance guidelines as recorded in NNCN guidelines.

### **Commercial Products**

Not applicable

### **PRIOR AUTHORIZATION**

#### **BlueCHiP for Medicare and Commercial Products**

There is no specific CPT coding for some testing referenced in this policy. Therefore, an Unlisted CPT code should be used (See Coding Section for details). All Unlisted genetic testing CPT codes require prior authorization to determine what service is being rendered and if the service is covered or not medically necessary. See the Related Policies section.

Prior authorization is required for BlueCHiP for Medicare and recommended for Commercial products and is obtained via the online tool for participating providers. See the Related Policies section.

### **POLICY STATEMENT**

#### **BlueCHiP for Medicare**

The Prolaris and Oncotype DX prostate cancer assay will be considered medically necessary when the medical criteria listed above are met.

The Promark and Decipher prostate cancer assays, as well as the Oncotype DX AR-V7 Nuclear Detect are not covered as the evidence is insufficient to determine the effects of the technology on health outcomes.

### **Commercial Products**

Use of gene expression analysis and protein biomarkers to guide management of prostate cancer is considered not medically necessary in all situations as the evidence is insufficient to determine the effects of the technology on health outcomes.

### **COVERAGE**

Benefits may vary between groups/contracts. Please refer to the appropriate Benefit Booklet, Evidence of Coverage, or Subscriber Agreement for applicable genetic testing and not medically necessary/not covered benefits/coverage.

### **BACKGROUND**

Prostate cancer is the second most common noncutaneous cancer diagnosed among men in the United States. Autopsy studies in the era prior to the availability of prostate-specific antigen screening have identified incidental cancerous foci in 30% of men 50 years of age, with incidence reaching 75% at age 80 years.

Localized prostate cancers may appear very similar clinically at diagnosis.<sup>2</sup> However, they often exhibit diverse risk of progression that may not be captured by clinical risk categories (eg, D'Amico criteria) or prognostic tools based on clinical findings, including PSA titers, Gleason grade, or tumor stage. In studies of conservative management, the risk of localized disease progression based on prostate cancer–specific survival rates at 10 years may range from 15% to 20% to perhaps 27% at 20-year follow-up. Among older men (ages ≥ 70 years) with low-risk disease, comorbidities typically supervene as a cause of death; these men will die with prostate cancer present, rather than from cancer itself. Other very similar appearing low-risk tumors may progress unexpectedly rapidly, quickly disseminating and becoming incurable.

### **Risk Stratification in Newly Diagnosed Disease**

In the United States, most prostate cancers are clinically localized at diagnosis due in part to the widespread use of PSA testing. Clinicopathologic characteristics are used to stratify patients by risk based on the extent of

the primary tumor (T category), nearby lymph node involvement (N category), metastasis (M category), PSA level and Gleason score. The National Comprehensive Cancer Network and American Urological Association risk categories for clinically localized prostate cancer are similar, derived from the D'Amico criteria and broadly include low-, intermediate-, or high-risk as follows as well as subcategories within these groups:

- Low: T1-T2a and Gleason score  $\leq 6$ /Gleason grade group 1 and PSA level  $\leq 10$  ng/mL;
- Intermediate: T2b-T2c or Gleason score 3+4=7/Gleason grade group 2 or Gleason score 4+3=7/Gleason grade group 3 or PSA level 10-20 ng/mL;
- High: T3a or Gleason score 8/Gleason grade group 4 or Gleason score 9-10/Gleason grade group 5 or PSA level  $>20$  ng/mL.

Risk stratification is combined with patient age, life expectancy, and treatment preferences to make initial therapy decisions.

### **Monitoring After Prostatectomy**

All normal prostate tissue and tumor tissue is theoretically removed during radical prostatectomy (RP), so the serum level of PSA should be undetectable following RP. Detectable PSA post-RP indicates residual prostate tissue and presumably persistent or recurrent disease. PSA is serially measured following RP to detect early disease recurrence. The National Comprehensive Cancer Network recommends monitoring serum PSA every 6 to 12 months for the first 5 years and annually thereafter. Many recurrences following RP can be successfully treated. The American Urological Association has recommended that biochemical recurrence be defined as a serum PSA of 0.2 ng/mL or higher, which is confirmed by the second determination with a PSA level of 0.2 ng/mL or higher.

### **Castration-Resistant Prostate Cancer**

Androgen deprivation therapy (ADT) is generally the initial treatment for patients with advanced prostate cancer. ADT can produce tumor response and improve quality of life but most patients will eventually progress on ADT. Disease that progresses while the patient is on ADT is referred to as castration-resistant prostate cancer. After progression, continued ADT is generally used in conjunction with other treatments. Androgen pathways are important in the progression of castration-resistant prostate cancer. Several drugs have been developed that either inhibit enzymes involved in androgen production or inhibit the androgen receptor, such as abiraterone and enzalutamide. Taxane chemotherapy with docetaxel or cabazitaxel may also be used after progression. Immunotherapy (sipuleucel-T) or radium 223 are options for select men.

Clinical laboratories may develop and validate tests in-house and market them as a laboratory service; laboratory-developed tests must meet the general regulatory standards of the Clinical Laboratory Improvement Amendments (CLIA). Prolaris® (Myriad Genetics), Oncotype DX® Prostate and Oncotype DX AR-V7 Nuclear Detect (Genomic Health), Decipher® gene expression profiling test (GenomeDx Biosciences), and the ProMark™ protein biomarker test (Metamark Genetics) are available under the auspices of CLIA. Laboratories that offer laboratory-developed tests must be licensed by CLIA for high-complexity testing. To date, the U.S. Food and Drug Administration (FDA) has chosen not to require any regulatory review of this test.

### **Prolaris**

Prolaris is used to quantify expression levels of 31 cell cycle progression (CCP) genes and 15 housekeeper genes to generate a CCP score.

For individuals who have low- or intermediate-risk clinically localized untreated prostate cancer who receive Prolaris, the evidence includes retrospective cohort studies of clinical validity using archived samples in patients of mixed risk categories. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. For the low-risk group, the Prostate Testing for Cancer and Treatment (ProtecT) trial showed 99% ten-year disease-specific survival in mostly low-risk patients receiving active surveillance. The low mortality rate estimated with tight precision makes it unlikely

that a test intended to identify a subgroup of low-risk men with a net benefit from immediate treatment instead of active surveillance would find such a group. For the intermediate-risk group, the evidence of improved clinical validity or prognostic accuracy for prostate cancer death using Prolaris Cell Cycle Progression score in patients managed conservatively after needle biopsy has shown some improvement in areas under the receiver operating characteristic curve over clinicopathologic risk stratification tools. There is limited indirect evidence for potential clinical utility. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have localized prostate cancer treated with RP who receive Prolaris, the evidence includes retrospective cohort studies of clinical validity using archived samples. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. Evidence of improved clinical validity or prognostic accuracy for prostate cancer death using the Prolaris Cell Cycle Progression score in patients after prostatectomy has shown some improvement in areas under the receiver operating characteristic curve over clinicopathologic risk stratification tools. The evidence is insufficient to determine the effects of the technology on health outcomes.

### **Oncotype DX Prostate**

Oncotype DX® Prostate Cancer Assay is prostate biopsy-based 17-gene RT-PCR assay, representing four molecular pathways (androgen signaling, cellular organization, stromal response and proliferation), that provides a biologic measure of cancer aggressiveness. The assay is indicated for men who are considered candidates for active surveillance (AS) (those with NCCN® very low- and low-risk prostate cancer). The assay is designed to inform decisions between AS and immediate treatment.

For individuals who have low- or intermediate-risk clinically localized untreated prostate cancer who receive Oncotype DX Prostate, the evidence includes case-cohort and retrospective cohort studies of clinical validity using archived samples in patients of mixed risk categories, and a decision-curve analysis examining indirect evidence of clinical utility. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. Evidence for clinical validity and potential clinical utility of Oncotype DX Prostate in patients with clinically localized prostate cancer derives from a study predicting adverse pathology after RP. The validity of using tumor pathology as a surrogate for risk of progression and cancer-specific death is unclear. It is also unclear whether results from an RP population can be generalized to an active surveillance population. The evidence is insufficient to determine the effects of the technology on health outcomes.

### **Oncotype DX Prostate BlueCHiP for Medicare**

The potential usefulness of this test is that it allows physicians to determine which patients with early prostate cancer are candidates for active surveillance and are more likely to have a good outcome without needing to receive definitive treatment.

### **ProMark Protein Biomarker Test**

The ProMark assay includes 8 biomarkers that predict prostate pathology aggressiveness and lethal outcomes: DERL1, PDSS2, pS6, YBX1, HSPA9, FUS, SMAD4, and CUL2. The assay results are combined using predefined coefficients for each marker from a logistic regression model to calculate a risk score. The risk score is a continuous number between 0 and 1, which estimates the probability of “non-GS 6” pathology.

For individuals who have low- or intermediate-risk clinically localized untreated prostate cancer who receive the ProMark protein biomarker test, the evidence includes a retrospective cohort study of clinical validity using archived samples and no studies of clinical utility. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. Current evidence does not support improved outcomes with ProMark given that only a single clinical validity study is available. The evidence is insufficient to determine the effects of the technology on health outcomes.

### **Decipher Prostate Cancer Classifier**

The Decipher test classifies as low risk those patients who can delay or defer RT after prostatectomy, or as high risk those who would potentially benefit from early radiation. The GC is a continuous risk score between 0 and 1, with higher risk scores indicating a greater probability of developing metastasis.

For individuals who have localized prostate cancer who are treated with RP and who receive the Decipher prostate cancer classifier, the evidence includes a study of analytic validity, prospective and retrospective studies of clinical validity using overlapping archived samples, decision-curve analyses examining indirect evidence of clinical utility, and prospective decision-impact studies without pathology or clinical outcomes. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. The clinical validity of the Decipher genomic classifier has been evaluated in samples of patients with high-risk prostate cancer undergoing different interventions following RP. Studies reported some incremental improvement in discrimination. However, it is unclear whether there is consistently improved reclassification—particularly to higher risk categories—or whether the test could be used to predict which men will benefit from radiotherapy. The evidence is insufficient to determine the effects of the technology on health outcomes.

### **Oncotype DX AR-V7 Nuclear Detect**

Oncotype DX AR-V7 Nuclear Detect is used to detect nuclear-localized AR-V7 protein in CTCs of men with mCRPC who have failed first-line therapy and are considering additional ARS inhibitor therapy.

For individuals who have metastatic castration-resistant prostate cancer who receive the Oncotype DX AR-V7 Nuclear Detect, the evidence includes a retrospective cohort study of clinical validity using archived samples, and no studies of clinical utility. Relevant outcomes include overall survival, disease-specific survival, quality of life, and treatment-related morbidity. Current evidence does not support improved outcomes with Oncotype DX AR-V7 Nuclear Detect, given that only a single clinical validity study, meeting inclusion criteria was available. The evidence is insufficient to determine the effects of the technology on health outcomes.

### **BlueCHiP for Medicare**

The potential usefulness of Prolaris is that it allows physicians to determine which patients with early prostate cancer are candidates for active surveillance or observation and are more likely to have a good outcome without needing to receive definitive treatment.

The potential usefulness of the Oncotype DX prostate cancer assay is that it allows physicians to determine which patients with early prostate cancer are candidates for active surveillance and are more likely to have a good outcome without needing to receive definitive treatment.

### **CODING**

The following CPT code can be used for the Prolaris® assay. It requires prior authorization for BlueCHiP for Medicare and is considered not medically necessary for Commercial Products.

**81541** Oncology (prostate), mRNA gene expression profiling by real-time RT-PCR of 46 genes (31 content and 15 housekeeping), utilizing formalin-fixed paraffin-embedded tissue, algorithm reported as a disease-specific mortality risk score

The following code requires prior authorization for BlueCHiP for Medicare and is not medically necessary for Commercial Products. This code can be used for the Oncotype DX® Prostate Cancer Assay.

**0047U** Oncology (prostate), mRNA, gene expression profiling by real-time RT-PCR of 17 genes (12 content and 5 housekeeping), utilizing formalin-fixed paraffin-embedded tissue, algorithm reported as a risk score

The following Unlisted CPT code requires prior authorization for BlueCHiP for Medicare and Commercial Products. The code can be used for any test identified in this policy that does not have a specific CPT code.

**81479** Unlisted molecular pathology procedure



## RELATED POLICIES

Genetic Testing Services

## PUBLISHED

Provider Update, February 2019

Provider Update, November 2017

Provider Update, September 2016

Provider Update, December 2015

## REFERENCES

1. Centers for Medicare and Medicaid Services (CMS). Local Coverage Determination (LCD): MolDX: Genomic Health™ Oncotype DX® Prostate Cancer Assay (L36153)
2. Dall'Era MA, Cooperberg MR, Chan JM, et al. Active surveillance for early-stage prostate cancer: review of the current literature. *Cancer*. Apr 15 2008;112(8):1650-1659. PMID 18306379
3. Bangma CH, Roemeling S, Schroder FH. Overdiagnosis and overtreatment of early detected prostate cancer. *World J Urol*. Mar 2007;25(1):3-9. PMID 17364211
4. Johansson JE, Andren O, Andersson SO, et al. Natural history of early, localized prostate cancer. *JAMA*. Jun 9 2004;291(22):2713-2719. PMID 15187052
5. Ploussard G, Epstein JI, Montironi R, et al. The contemporary concept of significant versus insignificant prostate cancer. *Eur Urol*. Aug 2011;60(2):291-303. PMID 21601982
6. Harnden P, Naylor B, Shelley MD, et al. The clinical management of patients with a small volume of prostatic cancer on biopsy: what are the risks of progression? A systematic review and meta-analysis. *Cancer*. Mar 1 2008;112(5):971-981. PMID 18186496
7. Brimo F, Montironi R, Egevad L, et al. Contemporary grading for prostate cancer: implications for patient care. *Eur Urol*. May 2013;63(5):892-901. PMID 23092544
8. Eylert MF, Persad R. Management of prostate cancer. *Br J Hosp Med (Lond)*. Feb 2012;73(2):95-99. PMID 22504752
9. Eastham JA, Kattan MW, Fearn P, et al. Local progression among men with conservatively treated localized prostate cancer: results from the Transatlantic Prostate Group. *Eur Urol*. Feb 2008;53(2):347-354. PMID 17544572
10. Bill-Axelsson A, Holmberg L, Ruutu M, et al. Radical prostatectomy versus watchful waiting in early prostate cancer. *N Engl J Med*. May 12 2005;352(19):1977-1984. PMID 15888698
11. Thompson IM, Jr., Goodman PJ, Tangen CM, et al. Long-term survival of participants in the prostate cancer prevention trial. *N Engl J Med*. Aug 15 2013;369(7):603-610. PMID 23944298
12. Albertsen PC, Hanley JA, Fine J. 20-year outcomes following conservative management of clinically localized prostate cancer. *JAMA*. May 4 2005;293(17):2095-2101. PMID 15870412
13. National Comprehensive Cancer Network (NCCN). NCCN Clinical Practice Guidelines in Oncology: Prostate Cancer. Version 4.2018. [https://www.nccn.org/professionals/physician\\_gls/pdf/prostate.pdf](https://www.nccn.org/professionals/physician_gls/pdf/prostate.pdf). Accessed September 27, 2018.
14. American Urological Association (AUA). Clinically Localized Prostate Cancer: AUA/ASTRO/SUO Guideline. 2017; [http://www.auanet.org/guidelines/clinically-localized-prostate-cancer-new-\(aua/astro/suo-guideline-2017\)](http://www.auanet.org/guidelines/clinically-localized-prostate-cancer-new-(aua/astro/suo-guideline-2017)). Accessed July 17, 2017.
15. Thompson IM, Valicenti RK, Albertsen P, et al. Adjuvant and salvage radiotherapy after prostatectomy: AUA/ASTRO Guideline. *J Urol*. Aug 2013;190(2):441-449. PMID 23707439
16. Food and Drug Administration (FDA). The Public Health Evidence for FDA Oversight of Laboratory Developed Tests: 20 Case Studies. 2015; <http://wayback.archiveit.org/7993/20171114205911/https://www.fda.gov/AboutFDA/ReportsManualsForms/Reports/ucm472773.htm>. Accessed October 23, 2018.
17. Borley N, Feneley MR. Prostate cancer: diagnosis and staging. *Asian J Androl*. Jan 2009;11(1):74-80. PMID 19050692
18. Freedland SJ. Screening, risk assessment, and the approach to therapy in patients with prostate cancer. *Cancer*. Mar 15 2011;117(6):1123-1135. PMID 20960523

19. Whitson JM, Carroll PR. Active surveillance for early-stage prostate cancer: defining the triggers for intervention. *J Clin Oncol*. Jun 10 2010;28(17):2807-2809. PMID 20439633
20. Albertsen PC. Treatment of localized prostate cancer: when is active surveillance appropriate? *Nat Rev Clin Oncol*. Jul 2010;7(7):394-400. PMID 20440282
21. Ip S, Dahabreh IJ, Chung M, et al. An evidence review of active surveillance in men with localized prostate cancer. *Evid Rep Technol Assess (Full Rep)*. Dec 2011(204):1-341. PMID 23126653
22. Nam RK, Cheung P, Herschorn S, et al. Incidence of complications other than urinary incontinence or erectile dysfunction after radical prostatectomy or radiotherapy for prostate cancer: a population-based cohort study. *Lancet Oncol*. Feb 2014;15(2):223-231. PMID 24440474
23. Hamdy FC, Donovan JL, Lane JA, et al. 10-year outcomes after monitoring, surgery, or radiotherapy for localized prostate cancer. *N Engl J Med*. Oct 13 2016;375(15):1415-1424. PMID 27626136
24. Tosoian JJ, Mamawala M, Epstein JI, et al. Intermediate and Longer-Term Outcomes From a Prospective Active-Surveillance Program for Favorable-Risk Prostate Cancer. *J Clin Oncol*. Oct 20 2015;33(30):3379-385. PMID 26324359
25. Klotz L, Vesprini D, Sethukavalan P, et al. Long-term follow-up of a large active surveillance cohort of patients with prostate cancer. *J Clin Oncol*. Jan 20 2015;33(3):272-277. PMID 25512465
26. Wilt TJ, Brawer MK, Jones KM, et al. Radical prostatectomy versus observation for localized prostate cancer. *N Engl J Med*. Jul 19 2012;367(3):203-213. PMID 22808955
27. Wilt TJ, Jones KM, Barry MJ, et al. Follow-up of prostatectomy versus observation for early prostate cancer. *N Engl J Med*. Jul 13 2017;377(2):132-142. PMID 28700844

[CLICK THE ENVELOPE ICON BELOW TO SUBMIT COMMENTS](#)

This medical policy is made available to you for informational purposes only. It is not a guarantee of payment or a substitute for your medical judgment in the treatment of your patients. Benefits and eligibility are determined by the member's subscriber agreement or member certificate and/or the employer agreement, and those documents will supersede the provisions of this medical policy. For information on member-specific benefits, call the provider call center. If you provide services to a member which are determined to not be medically necessary (or in some cases medically necessary services which are non-covered benefits), you may not charge the member for the services unless you have informed the member and they have agreed in writing in advance to continue with the treatment at their own expense. Please refer to your participation agreement(s) for the applicable provisions. This policy is current at the time of publication; however, medical practices, technology, and knowledge are constantly changing. BCBSRI reserves the right to review and revise this policy for any reason and at any time, with or without notice. Blue Cross & Blue Shield of Rhode Island is an independent licensee of the Blue Cross and Blue Shield Association.

